

*Application
for
United States Letters Patent*

To all whom it may concern

Be it known that

Michael R. Rosen, Nicholas S. Peters and Yoram Palti
have invented certain new and useful improvements in

CARDIAC JUNCTIONAL REMODELING

of which the following is a full, clear and exact description

CARDIAC JUNCTIONAL REMODELING

CROSS REFERENCE TO RELATED APPLICATIONS

This application is based on U.S. Provisional Application No.
5 60/119,896, filed February 12, 1999, and is incorporated by
reference herein.

FIELD OF THE INVENTION

10 This application is directed to cardiac remodeling. More
particularly, this application is directed to pacing of the
epicardium or endocardium to induce cardiac electrical, mechanical,
ion channel and gap junctional remodeling.

BACKGROUND OF THE INVENTION

15 Within this application several publications are references by
arabic numerals within parentheses. Full citations for these and
other references may be found at the end of the specification
immediately preceding the claims. The disclosures of all of these
publication in their entireties are hereby incorporated by
20 reference into this application in order to more fully describe the
state of the art to which this invention pertains.

Arrhythmias of the heart, such as fibrillation, are well known to
those familiar with the heart. Localized or diffuse lesions of the

myocardium, which may result from any one of various reasons, often lead to a pronounced dispersion of repolarization and refractoriness. As a result, under certain circumstances the heart does not experience a normal sequential depolarization but, rather, there results an abnormal activation pattern and/or dispersion of repolarization. An abnormal impulse occurring during this period can lead to electrical fragmentation, and consequent initiation of ventricular fibrillation.

It is known that the proper application of an electrical shock to the heart can change a fibrillating heart back to synchronous action of all myocardial fibers; that is, the heart can be defibrillated. Defibrillation induced by electrical shock of the heart results in a regular development of propagation of electrical excitation by means of simultaneous depolarization of all myocardial fibers that have gone out of step to cause the arrhythmia. Many defibrillation devices are known in the prior art for providing a defibrillation pulse after the arrhythmia has commenced.

However, it has become apparent that electrical defibrillation is not an ideal means of therapy for arrhythmia problems. First of all, it is not immediately available in most cases, and even where implantable defibrillation devices are used, they provide

stimulation signals only after the dangerous condition of arrhythmia already exists. Further, though implantable defibrillators were developed to eliminate existing ventricular fibrillation as rapidly as possible, they can do so only after
5 detection of the actual state of fibrillation; and because of the high power requirements of the electrical shocks required to defibrillate, the operating time of such implantable defibrillators is highly limited. Further, even after detecting the advent of fibrillation, such prior art defibrillators require a discreet
10 period of charge time before providing a defibrillation shock.

The determinants of myocardial conduction and repolarization include the dimensions and packing geometry of the myocytes, and the properties of the gap junction which are the membrane
15 specializations that form the low resistance pathways for the flow of intercellular current. (1,2) Changes in quantity and distribution of gap junctions and their constituent proteins, connexins, have been demonstrated in various disease states (3-7) and experimental data indicate that such changes may cause
20 heterogeneous slowing of conduction (8,9) and are strongly implicated in reentry (10). There is also increasing evidence for the general concept of tachyarrhythmia-induced, and of pacing-induced, electrophysiological remodeling of myocardium. (11,12) Pacing-induced alterations in activation pathways cause

changes in the T wave that long outlast the return to sinus rhythm (13-16), and are generally referred to as "cardiac memory" (13,17). Given that low resistance connections between cells are the basis for electrotonus, and that electronic current flow modulates the voltage-time course of repolarization of nearby myocytes (18), remodeling of gap-junctional coupling may be implicated in the mechanism of cardiac memory. Changes in conduction and repolarization that occur in circumstances of altered activation may be critical to the pathophysiology of arrhythmias, and both would be facilitated by altered electrotonus that might accompany gap junctional remodeling.

SUMMARY OF THE INVENTION

It is an object of the invention to provide an apparatus and method for cardiac remodeling.

It is also an object of the invention to provide an apparatus and method for pacing of the epicardium or endocardium to induce cardiac electrical, mechanical, ion channel and gap junctional remodeling.

It is a further object of the invention to provide an apparatus and method for long-term, multi-point stimulation of as well as multi-point recording from a functioning heart.

It is a yet further object of the invention to provide an apparatus and method for pacing of the heart for sustained periods of time to induce remodeling of gap junctions and ion channels, to sustain an antiarrhythmic effect and alter contractile patterns as well.

One aspect of the invention provides a method of treating a heart to remodel gap junctions, comprising contacting linked multiple electrode pairs to an epicardial surface of a heart, and connecting the electrode pairs to a pacemaker to apply periodic electrical signals to the epicardial surface through said electrode pairs, said signals being applied for a sufficient time and having characteristics sufficient to remodel gap junctions in the heart.

According to another aspect of the invention, a device is provided for treating a heart to obtain gap junctional remodeling, comprising a substrate having linked multiple electrode pairs for contacting an epicardial surface of a heart and for delivering periodic pacemaker electrical signals to the epicardial surface through said electrode pairs, to remodel gap junctions in the heart.

Another aspect of the invention is a method of treating a heart to alter the effective refractory period, comprising contacting linked multiple electrode pairs to an epicardial surface of a heart, and

connecting the electrode pairs to a pacemaker to apply electrical signals to the epicardial surface, said signals being applied for a sufficient time and having characteristics sufficient to alter the effective refractory period of the heart.

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Another aspect of the invention provides a device for treating a heart to alter the effective refractory period, comprising a substrate having linked multiple electrode pairs for contacting an epicardial surface of a heart and for delivering periodic pacemaker electrical signals to the epicardial surface through said electrode pairs, to alter the effective refractory period in the heart.

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According to another aspect of the invention, a method is provided for treating a heart to induce ion channel remodeling, comprising contacting linked multiple electrode pairs to an epicardial surface of a heart, and connecting the electrode pairs to a pacemaker to apply periodic electrical signals to the epicardial surface, said signals being applied for a sufficient time and having characteristics sufficient to induce ion channel remodeling in the heart.

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Yet another aspect of the invention provides a device for treating a heart to induce ion channel remodeling, comprising a substrate having linked multiple electrode pairs for contacting an epicardial

surface of a heart and for delivering periodic pacemaker electrical signals to the epicardial surface through said electrode pairs, to induce ion channel remodeling in the heart.

5 These and other objects of the invention will become more apparent from the accompanying figures, following detailed description and attached claims.

BRIEF DESCRIPTION OF THE DRAWINGS

10 Figure 1 is a six lead electrocardiogram and frontal plane T wave vectrocardiogram of a dog;

Figure 2 is a graph showing activation times;

15 Figure 3 is a graph showing activation-recovery times;

Figure 4 comprises two confocal micrographs of the epimyocardial layer of the anterior left ventricular wall immunolabelled for connexin 43, from an unpaced control animal and a Group I animal
20 paced for 21 days;

Figure 5 is a drawing of an electrode array according to the invention;

Figure 6 shows electrocardiograms and vectrocardiograms of representative samples of effects of point source stimulation on accumulation of T wave changes;

5 Figure 7 comprise two graphs showing quantification of pacing-induced changes in sinus rhythm T vectoramplitude in animals, and the recovery of the T wave following cessation of pacing;

10 Figure 8 is two graphs showing activation time measured from reference QRS to bipolar epicardial electrode sites at left ventricular apex, left ventricular base and right ventricle;

15 Figure 9 is a graph showing changes in activation recovery intervals and effective refractory periods at the same sites and the same times as in Figure 8;

20 Figure 10 is a series of three graphs showing the effect of 21 days of posterolateral LV pacing on the QRS duration, QT interval duration, effective refractory period (ERP) and ERP/QT ratio;

Figure 11 is a series of graphs showing the effects of chronic pacing on action potential and ion channel remodeling; and

Figure 12 show effective refractory period (ERP) measurements made following two one hour periods of left ventricular antero-septal pacing using the array in three anesthetized dogs.

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DETAILED DESCRIPTION OF THE INVENTION

The invention provides a method of treating a heart to remodel gap junctions, comprising contacting linked multiple electrode pairs to an epicardial surface of a heart, and connecting the electrode pairs to a pacemaker to apply periodic electrical signals to the epicardial surface through said electrode pairs, said signals being applied for a sufficient time and having characteristics sufficient to remodel gap junctions in the heart.

The step of contacting may comprise contacting a strip electrode material having linked multiple electrode pairs mounted thereon.

The strip electrode material may comprise a strip of medical grade polyurethane, wherein the strip is about 7cm x 1cm in dimension.

The linked multiple electrode pairs may be arranged in two columns with one electrode in each pair in one column, and the other electrode in each pair in the other column. Preferably, each electrode in the electrode pair is about 2mm from each other, and wherein each electrode pair is about 5mm from its closest electrode pair.

The electrodes may comprise platinum, and may even consist essentially of unalloyed platinum.

The step of contacting may comprise sewing a substrate strip containing linked multiple electrode pairs to an epicardial surface of the heart. The step of contacting may comprise locating a transvenous catheter containing linked multiple electrode pairs into an epicardial vein. The step of contacting may comprise placing electrodes into heart ventricles for endocardial activation.

The invention also provides a device for treating a heart to obtain gap junctional remodeling, comprising a substrate having linked multiple electrode pairs for contacting an epicardial surface of a heart and for delivering periodic pacemaker electrical signals to the epicardial surface through said electrode pairs, to remodel gap junctions in the heart.

The substrate may comprise a strip of electrode material having mounted thereon the linked multiple electrode pairs. The electrode material may comprise medical grade polyurethane.

The electrode pairs may be arranged in two columns with one electrode in each pair in one column, and the other electrode in each pair in the other column. Preferably one electrode in the pair is about 2mm from the other electrode in the pair, and each electrode pair is about 5mm from its closest electrode pair.

The electrodes are preferably comprised of platinum, and more preferably consist essentially of unalloyed platinum. Each electrode is preferably connected to an insulated stainless steel wire.

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According to another aspect of the invention, a method of treating a heart to alter the effective refractory period is provided, comprising contacting linked multiple electrode pairs to an epicardial surface of a heart, and connecting the electrode pairs to a pacemaker to apply electrical signals to the epicardial surface, said signals being applied for a sufficient time and having characteristics sufficient to alter the effective refractory period of the heart.

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Another aspect of the invention provides a device for treating a heart to alter the effective refractory period, comprising a substrate having linked multiple electrode pairs for contacting an epicardial surface of a heart and for delivering periodic pacemaker electrical signals to the epicardial surface through said electrode pairs, to alter the effective refractory period in the heart.

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A yet further aspect of the invention provides a method of treating a heart to induce ion channel remodeling, comprising contacting linked multiple electrode pairs to an epicardial surface of a

heart, and connecting the electrode pairs to a pacemaker to apply periodic electrical signals to the epicardial surface, said signals being applied for a sufficient time and having characteristics sufficient to induce ion channel remodeling in the heart.

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The invention also provides a device for treating a heart to induce ion channel remodeling, comprising a substrate having linked multiple electrode pairs for contacting an epicardial surface of a heart and for delivering periodic pacemaker electrical signals to the epicardial surface through said electrode pairs, to induce ion channel remodeling in the heart.

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Propagation of the action potential from cell to cell is dependent on a number of architectural characteristics of the myocardium.

15

(24) These architectural determinants of myocardial conduction include the dimensions and packing geometry of the constituent myocytes, the number of cells with which each cell makes contact (typically about 10 in the normal mammalian ventricle (23,5)), and the distribution of the gap junctions which are the membrane specializations that form the low resistance pathway for the flow of intercellular current (1). As a principal determinant of myocardial conduction, alteration in the organization of gap-junctional coupling affects conduction and is directly implicated in promoting reentrant arrhythmogenesis. (25,10,26,27)

20

There is increasing experimental evidence for the role of changes in both the action potential (11) and in the functional morphology of myocardial architecture in reentrant arrhythmogenesis. (25,10,24) What has recently become apparent, however, is that electrophysiological remodeling may not only have a causative role in reentrant arrhythmogenesis, but may also be a direct consequence of tachyarrhythmia, and that this remodeling may act to perpetuate the arrhythmic tendency (11). This phenomenon has been demonstrated in the atria of animal models of both atrial fibrillation (11) and very high rate atrial pacing (28,29), coining the phrase "atrial fibrillation begets atrial fibrillation" (11). As an explanation for this self-perpetuating tachyarrhythmia-induced atrial remodeling, it has been suggested that whatever the initial trigger for the tachyarrhythmia, the resultant remodeling is caused by the rapid stimulation of the atrial myocardium and constitutes part of a tachycardia-induced myopathic process.

The results of our experimental studies of the ventricle show that altering the pattern of myocardial activation causes a remodeling of its myocardial gap-junctional organization. Importantly, as the pacing was at low rate, this finding cannot be attributed to a tachycardia-induced myopathy. Furthermore, we have previously reported microsphere, hemodynamic and cell capacitance studies in this model which exclude myocardial ischemia, hypertrophy or

congestive ventricular failure as having a causative role (15,19). The remodeling of Cx43 gap junctions occurred differentially in different layers and different regions of the LV wall, being most evident in the epicardial layer near the pacing site.

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That alteration of the ventricular activation sequence causes changes in myocardial electrophysiological function is well documented, and one clinical manifestation of this is cardiac memory, in which the T-wave of the ECG during sinus rhythm assumes a vector approaching that of the paced or arrhythmic QRS complex (13,14,17). This phenomenon has been extensively investigated in the paced ventricles in this canine model, and results from changes in the action potential that occur differentially between the endo-, mid-, and epimyocardial layers of the left ventricular wall (15). These changes in the action potential results from changes in a subset of ion channels (19), and require new protein synthesis (15). The results of the present study indicate that alterations in the action potentials of the individual cells may be determined in part by the way they are electrically coupled. Alterations in coupling may therefore play a role in the genesis of cardiac memory not only by causing localized differential modulation of patterns and velocities of depolarizing wave fronts, but by altering electrotonic current flow during repolarization. Computer modeling studies indicate that the progressive uncoupling of cardiac

myocytes reduces electro tonic current flow, thereby unmasking the intrinsic differences in action potential characteristics that exist among neighboring cells, layers of the ventricular wall, and differences in action potential characteristics that exist among neighboring cells, layers of the ventricular wall, and different regions of the ventricle, thus altering the normal heterogeneity (27,28). That heterogeneity of repolarization across myocardial layers is, in fact, altered in the setting of cardiac memory has been demonstrated by us previously (15).

Of importance in elucidating the potential physiological consequences of the altered connexin43 gap-junctional organization are the subtle, yet consistent and significant changes in activation that occur with the induction of pacing-induced cardiac memory. First, during ventricular pacing, activation was not altered to the LV sites that were relatively near to the pacing electrode and were activated earliest, but was slowed to the RV site that was the latest activated. And second, during atrial pacing, activation was not altered to the sites activated earliest, but now was delayed to the last-activated site, the LV base. In other words, in both settings the site to which conduction was slowest during control manifested the delay in activation. One possible cause of the change in activation is the remodeling of gap-junctional organization that occurred in these animals.

Such localized gap-junctional remodeling may not only facilitate the changes seen in the conduction and repolarization of the normal cardiac impulse, but has important implications for understanding reentrant arrhythmias. Changes in gap-junctional organization have been demonstrated in the fibrillating mammalian atrium (31,32), and we have shown that a specific pattern of gap-junctional disorganization appears to define the inducibility and location of the reentrant circuit in the epicardial border zone of the model of healing canine infarct (10). The results of the present study raise the possibility that gap-junctional remodeling may be a consequence of the abnormal activation pattern during the arrhythmia. Further, these findings raise the intriguing possibility that abnormal conduction pathways even during sinus rhythm, caused by regional structural and functional changes in the diseased myocardium, such as the presence of an infarct, may produce localized remodeling of gap-junctional coupling which may be central to the development of the arrhythmogenic substrate. In other words, just as has been shown for the atrium that "fibrillation begets fibrillation," there is a structural basis for proposing that alterations in the activation pattern of ventricular myocardium cause changes in the distribution of the architectural determinants of myocardial conduction, thereby perpetuating arrhythmogenesis.

An aspect of the present invention concerns providing an apparatus and method to enable long-term, multi-point stimulation of as well as multi-point recording from a functioning heart. In other words, the system has to include multiple electrical contact points of suitable properties that can be used to stimulate the heart muscle by passing current, or record its electric activity by measuring potential differences, positioned such that they make firm and stable contact at selected points on the internal or external surface of a ^{heart}~~beating~~, i.e., contracting and moving heart. These contact points are to be connected via flexible insulated leads to the stimulating current or potential recording units. All of this has to form a two-dimensional array of high density circuitry.

Two basically different technologies are currently in wide use for the fabrication of electrical conducting leads and contact points: silicon chip - microelectronics technology (called hereafter "Chip") and printed circuit technology (called hereafter "Prints"). The first is in wide use in construction of practically all types of modern electronic micro-chips, such as microprocessors, while the second is mainly used as a base for connecting between the various electric components (conductors, resistors, capacitors, etc.) and electronic active elements (transistors, processors, etc.)

From the points of view relevant to the present invention the main characteristics and differences between the two technologies are as follows:

5

Chip construction is based around silicon which has the mechanical properties of glass and therefore has mechanical limitations: it is not flexible and if thin tends to break easily. In contrast, Prints construction is based around plastics such as polyimide which are very flexible and do not break when in the form of thin films.

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The cutting of the base material to individual units is done by etching in Chips and laser beams in Prints. This makes the process more expensive for Prints.

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The standard Chip technology allows for smaller and more dense circuitry; however, the limitation of about 5 microns in Prints technology does not pose a problem for our purposes.

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Presently only Chip technology allows the integration of active elements in the circuitry.

In order to satisfy the above requirements and in view of the above characteristics of the two technologies, the optimal choice would

be use of the flexible Prints. The Prints can be made of two thin electrically-insulating and bio-compatible plastic material sheets glued together so as to be holding in between them conductive metal strips that are sandwiched to form a compound flexible sheet, about
5 0.03-0.3 mm in thickness. One such preferred embodiment would be two polyimid sheets which are very strong, bio-compatible and to which living cells tend to adhere well. The conducting metal strips can be made from any metal used in such circuits. For example, aluminum, provided that their exposed sections are coated with a suitable conducting element such as gold, platinum, etc.
10 Such plating is also standard in the industry. The contact points are made by perforating the plastic at the desired locations. Such exposure of the metal is made, for example, by laser beams. The exposed areas are to serve as heart muscle contacts as well as to form suitable connectors to the electronic activating units.
15

The overall geometry of the circuit and contacts is practically unlimited and is usually made by means of masks generated by computer programs and lithography and implicated on sheets of
20 stationary paper sizes. The sheets can be cut into practically any shapes by laser beams.

In principle, Chip technology can allow the building of circuits which practically contain such a thin layer of silicone so as to be

flexible. There may be other Chip technologies that would make the Chip sufficiently flexible. Such circuits would have the advantage that they can contain active elements on board, for example, the first amplification stage.

5

TESTING

Electrical Instrumentation of Canine Model

10 Mongrel dogs of either sex weighing 22 to 27 kg were anaesthetized with propofol 6 mg/kg IV, followed by inhalation of isoflurane 92%). Under sterile conditions, the chest was opened and the heart suspended in a pericardial cradle. Two groups of dogs were prepared.

15 In Group I (7 dogs), a Medtronic permanent pacing lead (model 6917) was attached to the epicardium of the anterolateral left ventricle. The lead was connected to a Medtronic MINIX 8340 pulse generator that was placed in a subcutaneous pocket. No other leads were attached to minimize manipulation and instrumentation of the hearts for subsequent histological examination (see below).

20

In Group II (5 dogs) preparation was as above, but different instrumentation was performed. Here, the Medtronic pacemaker was implanted into the posterobasal left ventricular epicardium, and bipolar surface electrodes were sewn to the epicardium in the

following regions: right atrial appendage, left ventricular posterior wall 2 cm away from the pacemaker, anterior base, and right ventricular free wall. In this way we could pace atrium or ventricle and perform measurements of cardiac activation during ventricular or atrial pacing. Because of the additional instrumentation, these animals were used for studies of cardiac activation and repolarization, only, and not for studies involving histology and immunohistochemistry.

In both groups, the incisions were closed, and the animals were allowed to recover for 2 to 3 weeks, during which time they were trained to lie quietly on the right side for the performance of ECG recordings. Ventricular pacing was then instituted (mode VVO, rate 110 to 120 bpm; amplitude, 3.3 to 5V; pulse width, 0.35 to .05 mg) at a rate 10% to 15% higher than each animal's sinus rate for 3 weeks. Twenty-four-hour monitoring on random days confirmed reliable capture for at least 75% of the time.

Cardiac hemodynamics, myocardial blood flow and ventricular myocyte capacitance (indicating cell size) have been shown previously to be unaffected by the pacing in this model (15,19). Five unoperated dogs in sinus rhythm maintained under identical conditions acted as controls. ECGs were recorded at baseline and at 2-3 day intervals during the 3-week study period, with the animals resting quietly on

the right side.

Ventricular activation and repolarization were studied as follows:
in addition to recording cardiac frontal plane vectors, as
5 previously described (15), activation times were measured as the
interval between the stimulus artifact (during ventricular pacing)
or the onset of the QRS complex (during atrial pacing) to the
maximum deflection of the first derivative of the local
electrogram. Activation-recovery intervals were measured from the
10 steepest deflection of the local electrogram to the maximum peak of
the first derivative of the terminal limb of the T wave (20,21).

Tissue Handling

After 3 weeks of ventricular pacing, final ECGs were recorded from
15 Group I animals during atrial pacing and ventricular pacing. These
dogs were anaesthetized with pentobarbital, 30 mg/kg, IV, and the
heart removed and weighed. Transmural LV samples were excised from
the anterior left ventricular wall, 1 to 2 cm from the pacing site,
and from the posterior LV wall, distant from the pacing site. All
20 specimens were divided into epimyocardial (epi), midmyocardial
(mid) and endomyocardial (endo) layers, and immediately snap frozen
in liquid nitrogen.

Connexin Immunohistochemistry

Frozen sectioning of the samples was carried out in a cryostat at -20°C, producing 10 µm tissue sections of random orientation, which were picked up on slides coated with poly-L-lysine, stored at -20°C, and fixed in methanol for 5 minutes at -20°C. Standard histological staining and light microscopy was carried out on all tissue samples to confirm preservation, cell structure and orientation, and for photography. Connexin immunohistochemistry was carried out on epi-, mid-, and endomyocardial layers.

The antibody used for the localization of cardiac gap-junctional connexin43 was IgG₁ raised in mice against a synthetic peptide corresponding to positions 252-270 of the native connexin43 from rat (Chemicon International Inc.). For connexin40 labeling, a rabbit anti-rat antibody (against amino acid residues 254-268) was used as both unpurified serum and in a purified form. Further details regarding this antibody kindly supplied by Professor Nicholas J. Severs of Imperial College, London can be found elsewhere (22).

The fluorochrome Cy3 (peak absorption wavelength 550 nm, peak emission wavelength 570 nm) was used for these studies, conjugated to antibodies (Chemicon International Inc.) raised against immunoglobulin from mouse (for connexin43 labeling) and rabbit (for connexin40 labeling) as appropriate.

Immunolabelling Protocol

Following fixation and blocking slides were incubated first with the primary connexin antibody, and then with the appropriate Cy3-conjugated secondary antibody. For Connexin43 Immunolabelling, the primary antibody was used at a dilution of 1:1000, with 1% BSA, for 1 hour at room temperature. For Connexin40 Immunolabelling, a range of conditions was used, leading to the conclusion that no detectable connexin40 labeling was expressed in either the control or paced canine ventricular myocytes (see Results below).

Image Acquisition and Analysis

Immunolabelled sections were examined using a Leica TCS 4D laser scanning confocal microscope running on SCANware software with the digitized images stored on 250Mb magneto-optical disks.

Connexin43 Western Blotting

Total tissue homogenates were prepared from the frozen tissue samples to give a solution of final concentration 0.5 $\mu\text{g}/\mu\text{l}$ in sample buffer. 3.0 μg of total protein from each sample were resolved by polyacrylamide gel electrophoresis (BioRad) on a 12.5% gel (with a 4.5% stacker). The gel was run at 60V until the dye front was through the stacker and then at 150V. The gel was electrophoretically transferred onto a polyvinylidene fluoride

membrane at constant voltage 30V. Transfer was assessed by Ponceau S (Sigma). The membrane was blocked in the dilution buffer (TBS/0.2% Tween20 (Merck)/1% blot qualified BSA) for 30 minutes, followed by incubation with the primary antibody for connexin43 (as used for immunohistochemistry, above), diluted 1:1000 in dilution buffer for one hour. After washing, the membrane was incubated with the secondary alkaline phosphates conjugated anti-mouse antibody (Pierce), diluted 1:2500 with dilution buffer, for one hour. After washing, the membrane was incubated with alkaline phosphate buffer (0.1M Tris pH 9.5, 0.1M $MgCl_2$) for 5 minutes, followed by incubation with freshly prepared substrate solution (Promega Corporation). Following densitometric quantification of band intensity, all values were corrected for protein loading using the actin band on a coomassie stained gel run in parallel.

Statistical Analysis

For studies of cardiac activation, the time for propagation of an impulse to the various sites on the ventricle was recorded using the QS on the body surface ECG as a reference point. Data were analyzed using repeated measures ANOVA, with Bonferroni's test applied where the f value so permitted. The results of Western connexin quantification of the sample groups were compared by unpaired, two-tailed t-tests, and the ECG QRS duration for each animal compared by paired t-test. In all studies p-values of <0.05

were considered significant.

RESULTS

Evolution of Electrophysiological Changes

5 Figure 1 is a six lead electrocardiogram and frontal plane T wave
vectrocardiogram of one dog on day 1 during atrial pacing just
before, and then shortly after, initiating ventricular pacing, and
on day 21, one hour after the return to atrial pacing. By day 21
the T wave during atrial pacing has tracked the paced QRS complex.
10 ECG calibrations = 1 mV and 50 mm/sec. The vector calibration =
0.5 mV.

Figure 1 is a representative experiment from a Group II dog,
demonstrating the ECG and the frontal plane T wave vector during
15 atrial pacing and one hour after initiating ventricular pacing on
day 1, and during atrial pacing an hour after the end of 21 days of
ventricular pacing. The evolution of the atrially-paced T wave and
its vector are such that at 21 days it has tracked the
ventricularly-paced QRS complex. The characteristics of the ECG
20 and of cardiac T wave vectors for Group I and II animals are shown
in Table 1. No significant changes occurred in the heart rate, P-R
interval, QRS duration or QT interval in either group, as has been
previously described (15). Also, as previously described, there
are significant changes in the T wave vector, which, as

demonstrated in Figure 1, assumes an angle and amplitude that track those of the paced QRS complex.

Of critical importance, however, is the changes that occurred in the ventricularly paced QRS duration. In both groups this increased significantly (Table 1). Hence, both Group I animals that had been paced from the anterior left ventricle and used for subsequent study of connexins and the Group II animals that had been paced from the posterior left ventricle and instrumented for the study of electrograms showed complementary changes in the T wave and its vector and comparable prolongation of the paced QRS complex over the 21 days of pacing. QRS prolongation was not apparent when activation was via the AV node during sinus rhythm (Group I) or atrial pacing (Group II), as indicated in the following Table 1.

Table 1

Electrocardiographic characteristics of Group I (paced from anterior LV) and Group II (paced from posterior LV) dogs on days 0 and 21 of the study. Group I animals were in sinus rhythm and Group II were atrially paced at the time of the measurements.

	P-R (ms)	QRS (ms)	QRS during Ventricular Pacing * (ms)	QT (ms)	HR (min-1)	<u>T vect angle (day 0)</u> <u>ΔT angle (day 21)</u>	T vect amp (mV)	T vect displacement	
5									
	Group I								
10	Day 0	130±5.1	54±1.5	109±2.7	231±4.4	99±2.5	-63±21.2	.37±.06	0
	Day 21	127±3.9	56±0.6	113±1.7*	226±2.7	90±3.7	43±3.7*	.89±05*	.89±.07*
15	Group II								
	Day 0	170±7.9	59±3.3	109±5.2	208±3.5	120	-116±13.3	1.00±0.2	0
	Day 21	166±6.2	60±3.5	113±5.3*	210±4.3	120	20±6.0*	1.57±0.2*	.73±.07*
20	* P<0.05 compared Day 0								
	+ Only these measurements were made during ventricular pacing.								

20* P<0.05 compared Day 0

+ Only these measurements were made during ventricular pacing.

Figure 2 is a graph showing activation times during control and on days 7, 14, and 21 during the 1 hour interludes of atrial pacing (Panel A) and during the ventricular pacing, itself (Panel B). In panel A for the two sites activated earliest (RV and LV inferior) there is no significant change in activation time. In contrast, for the site activated last (LV base) activation time prolongs during the protocol. Similarly, during ventricular pacing (Panel B) the two sites activated earliest (now LV inferior and LV base) show no change in activation time, while the area activated latest (RV) shows prolongation of activation time during the protocol. The symbol indicates P<.05 cf control.

Figure 3 is a graph showing activation-recovery intervals during control and on days 7, 14, and 21 during the 1 hour interludes of atrial pacing (Panel A) and during the ventricular pacing, itself (Panel B). In both panels there is no significant change in ARI at

any of the sites studied.

Local electrogram measurements were done uniquely in Group II to define more clearly the changes occurring in activation and repolarization. As shown in Figure 2A (during atrial pacing) and 2B (during ventricular pacing) at sites of early activation during control no significant change occurs over 21 days. In contrast, at those sites that are activated late (LV base atrial pacing and anterior RV free wall during LV posterior pacing) there is a significant prolongation of activation time. During atrial (Figure 3A) or ventricular (Figure 3B) pacing, the Group II activation-recovery intervals did not change over the 21 day period. This result is similar to that seen for the QT interval (see Table 1).

Gap-junctional Remodeling

General Appearance of the Heart and Myocardium

The removed hearts from the paced animals appeared grossly normal, with minimal scarring and fibrosis limited exclusively to the pacemaker lead site. The left ventricular wall outside the immediate vicinity of the pacing site appeared normal, with no obvious edema, necrosis or scarring. Standard light microscopy revealed normal myocardial appearance and no differences between any of the myocardial layers in the paced or control groups.

Connexin43 Immunolabelling

These studies were done in Group I animals, in which the only cardiac instrumentation was the single, anterior left ventricular pacing lead.

Control Animals: Optimization of the labeling protocols resulted in clear, consistent and uniform Cx43 labeling in all specimens, with a high signal/background ratio (Figure 4). The pattern of gap junction distribution previously described in mammalian ventricular myocardium (23,3,5) was confirmed in the epicardial and endocardial layers of the control specimens. That is, with clusters of Cx43 gap junctions localized predominantly at the intercalated disks which are most prominent at the ends of abutting myocytes, and at the smaller disks which exist along the length of the cells, all orientated transverse to the long axis of the cell. Thus, with the myocardium sectioned parallel to the long axis (Figure 4), the clusters appeared as transverse bands at intercellular abutments.

Paced Animals: By contrast with this normal pattern of distribution, the epimyocardial layer of the paced animals had, to a variable extent, an abnormal pattern of distribution of Cx43 Immunolabelling. The clusters of label tended to be strewn along the long axis of the cells, in longitudinally oriented arrays, with

fewer discrete transversely orientated clusters. Representative images are shown in Figure 4. To be able to summarize this finding for the entire groups of animals, a simple, arbitrary scoring system was used. A scale was devised with a score of 1 to 10 given to each individual sample depending upon the label distribution observed by a blinded operator. A score of "1" was given to an extreme distribution of connexin organization with labeling confined exclusively to the normal, transversely orientated clusters at cell abutments (Figure 4A), and a score of "10" represented a distribution of labeling within longitudinal arrays along the myocyte, with markedly diminished labeling at the end-on abutments (Figure 4B).

These semiquantitative data are summarized in Table 2. By contrast with the mid- and endocardial layers, the epicardium showed a clear alteration in label distribution in the paced dogs, compared with controls (Figure 4). This is borne out by the mean scores for epicardium in Table 2 (control vs. paced, 3.0 vs. 7.0), although given the semiquantitative nature of these data, no attempt has been made to perform any statistical analysis.

Table 2

Subjective scores of distribution of Cx43 label in LV myocardial layers close to pacing site of paced and control dogs (Scale 1 to

10, where 1 = confined to transverse clusters, 10 = confined to longitudinal clusters)

		EPI	MID	ENDO
5	<hr/>			
	CONTROL			
10	A	2	5	2
	B	4	5	5
	C	2	6	2
15	D	2	4	4
	E	5	4	2
	MEAN	3.0	4.8	3.0
20	PACED			
	F	8	4	3
25	G	6	3	2
	H	8	3	3
30	I	4	4	2
	J	9	-	2
	MEAN	7.0	3.5	2.4
35	<hr/>			

Figure 4 comprises two confocal micrographs which show the effects of chronic pacing on gap junctional remodeling of the epimyocardial layer of the anterior left ventricular wall (~1cm from pacing site) immunolabelled for connexin43, from an unpaced control animal

(Upper panel) and from a Group I animal paced for 21 days (Lower panel). Both micrographs are longitudinally sectioned myocardium, with the long axis of the constituent cells running horizontally. The transversely oriented clusters of connexin43 label confined to the intercalated disks at the transverse cell abutments in Upper Panel is characteristic of normal ventricular myocardium (Score 2 on the visual scale - see Results and Table 2). The Lower Panel is an illustrative example of the abnormal pattern of connexin43 label distribution, with a significant proportion of the label spread in clusters along the longitudinal borders of the myocytes (Score 8). In the memory setting the gap junctional staining, rather than concentrating at the ends of the myocytes is distributed along their lateral margins as well. This represents a significant redistribution of gap junctional location, and occurred in the absence of change in a reference protein (connexin 40, results not shown here) (see refs. 37, 38).

Quantitative western Blotting for Connexin43 .

The values for relative Cx43 expression (normalized for actin loading) in the 3 myocardial layers in the paced and control samples, both near and distant to the pacing site are shown in Table 3. There were no significant differences in Cx43 expression between the tissue layers or between the samples from the anterior or posterior LV walls from control animals. Comparing the paced and control animals, however, there was a significant reduction in

Cx43 expression in the epimyocardium of paced samples (61.7 ± 18.4) compared to that of control samples (107 ± 43.3 ; $p=0.031$). Cx43 expression showed a non-significant reduction in the endomyocardial layer adjacent to the pacing site compared with controls (82.8 ± 30.2 vs 109.2 ± 21.0 ; $p=1.101$). The posterior LV wall, distant from the pacing site, in the paced dogs showed no significant differences in Cx43 expression compared with controls.

Table 3

Results of quantitative Western blots of Cx43 expression LV myocardial layers of paced and control dogs.

NEAR TO PACING SITE			DISTANT TO PACING SITE			
	EPI	MID	ENDO	EPI	MID	ENDO
CONTROL						
A	59.8	82.9	102.8	64.7	83.0	58.0
B	100.0	96.2	136.7	86.1	123.3	108.4
C	178.3	49.4	79.3	52.2	40.4	66.5
D	101.8	99.7	118.0	99.9	80.2	102.0
E	96.0	90.2	109.3	74.4	73.4	91.7
MEAN	107.2	83.7	109.2	75.5	80.0	85.3
SD	43.3	20.2	21.0	18.5	29.5	22.1
PACED						
F	85.3	133.5	96.1	103.6	51.8	99.8
G	55.2	94.7	78.3	82.1	70.5	50.9
H	74.3	64.5	89.4	97.7	72.6	97.6
I	74.8	82.3	109.1	82.0	59.5	151.8
J	65.3	130.7	119.7	100.1	94.6	128.1
K	40.4	88.8	37.5	94.0	99.6	126.4
L	36.7	113.3	49.1	92.7	98.4	114.6
MEAN	61.7	101.1	82.8	93.2	78.1	109.8
SD	18.4	25.7	30.2	8.4	19.5	31.9

Connexin40 Immunolabelling

Despite appropriate positive controls, there was no detectable Cx40 labeling of the ventricular myocytes from control or paced groups.

5 Electrode, Results, Pacing Modality

The following is a description of the electrode and of preliminary results using it, as well as the general modality of pacing to induce electrical, mechanical and gap junctional remodeling.

10 **The electrode** (Figure 5)

As shown in Figure 5, the electrode is a 7 cm x 1 cm medical grade polyurethane (Biospan) strip having a plurality or multiplicity of 1.2 mm unalloyed platinum electrode pairs (each member of a pair spaced 2 mm from its mate) with the pairs spaced at 5 mm intervals.

15 The electrodes are thus arranged in two columns with one electrode of the pair in one column, and the other electrode in the other column. The electrodes are linked or connected together as shown.

Each electrode has an electrode wire. The wires are 30 gauge multi-stranded stainless steel covered with medical grade

20 polyurethane. The array may be driven by any standard implantable pacemaker device, such that all electrodes or any subset of electrodes can contribute to a simultaneously activating wavefront.

The signals from a standard pacemaker has certain signal characteristics (i.e. voltage, current, frequency) which has been

shown to produce the desired results. Other signals can be used, provided they also produce the results desired, as described herein. The electrode strip can be sewn to the epicardial surface or, if re-arrayed on a transvenous catheter, placed into an epicardial vein via the coronary sinus or placed into the ventricles for endocardial activation. We have done experiments regarding the remodeling induced using both the entire array, or using point source stimulation from individual bipolar pairs.

Results:

The general indicator of remodeling that we use is a change in the electrocardiographic T wave. This is readily recordable from the body surface, requires no interventions in order to read it, and is recognized as the "gold standard" for cardiac memory (13, 17, 33), which is the specialized form of remodeling our pacing protocols induce.

Point source stimulation:

Figure 6 is a series of representative examples of effects of point source stimulation on accumulation of T wave changes on ECG and vectrocardiogram. Pacing was continued for 21 days and discontinued for an hour on days 7, 14, and 21. The T wave on ECG gradually assumes the ventor of the paced QRS complex. The T

vector change is better appreciated on the vectorcardiographic records in the lower panels. Here, panel A is a control, B represents ventricular pacing, C is an enlargement of the T wave vectors at control and days 14 and 21 showing the shift in vector as seen during sinus rhythm, and panel D is the return to sinus rhythm on day 21 (see ref. 15).

As shown in Figure 6, pacing of anesthetized dogs from a point source on the anterior left ventricle gives rise to an altered T wave on ECG that has the characteristics of memory (that is, with repeated stimulation the T wave change is increased and its decay from peak is more protracted with repeated periods of pacing) (15, 34).

Figure 7 is a series of two graphs showing quantification of pacing-induced changes in sinus rhythm T vector amplitude in 16 dogs during 35 days of pacing (left), demonstrating the major change to occur by 12 and the plateau fully evident by 22 days. On the right is recovery of the T wave following cessation of pacing. When pacing was 21-25 days in duration, recovery was rapid, and largely complete in a week. In contrast, following 42-52 days of pacing significant recovery had not occurred by one month (see ref. 15).

As shown in Figure 7 - left, the effect of long-term pacing of conscious dogs is to induce a peak change in the T wave at 21 days (15). This persists for variable periods thereafter, depending on the time the heart was initially paced (Figure 7 - right) (15, 34).

5 These changes occur in the absence of significant alterations in ventricular hemodynamics or in myocardial flow (15) as demonstrated using standard hemodynamic and microsphere techniques. Moreover, there is no evidence of hypertrophy, based on measurements of cell capacitance (19).

10
15
20
Table 4

T Wave Displacement and Amplitude Change After 21 days

Ventricular Pacing

	Control	21 days (CM)
T displacement (mV)	0.0±0.0	0.74±0.08
T amplitude (mV)	1.0±0.2	*1.6±0.3

*-p < 0.05

20
As shown in Table 4, pacing of conscious dogs for 21 days via the posterolateral left ventricle induces the altered T wave on ECG characteristic of memory. The changes that occur in activation are shown in Figure 8 (35).

Figure 8 is two graphs which show activation time measured from reference QRS to bipolar epicardial electrode sites at left ventricular (LV) apex, LV base and right ventricle (RV). Following 21 days of point source pacing from posterolateral LV, activation time is recorded during atrial pacing (left) to simulate sinus rhythm, or ventricular pacing (right). During atrial pacing, there is significant delay of activation to the latest sites activated (i.e. LV apex and base). During ventricular pacing, the delay in activation is again to the latest site, in this case, the RV.

During atrial pacing, to mimic sinus rhythm, there is a delay of activation to the sites activated last (i.e. left ventricular base and apex). In contrast, during ventricular pacing, the delay is to the site activated last in this situation, the lateral right ventricle. In other words, the normal physiological delays in activation expected as a result of altering the site of impulse initiation are not altered by the pacing to induce cardiac memory. Very importantly, in light of this, significant changes in repolarization and effective refractory period occur as shown in Figure 9 (35, 36).

Figure 9 is a graph showing changes in activation-recovery intervals (ARI, reflecting duration of local repolarization) and effective refractory periods (ERP) at the same sites and the same

times as in Figure 8. Depending on site, the ARI (and with this, repolarization) may length or shorten. However, the ERP lengthens in every instance, demonstrating significant remodeling. At each site the ratio, ERP/ARI increases, indicating greater protection against the propagation of premature beats.

The most important aspect of Figure 9 is that regardless of whether the duration of repolarization shortens or lengthens, as manifested in local recordings of activation-recovery intervals, the effective refractory period is prolonged. The net result is that there is greater protection at each site from the propagation of premature depolarizations than had occurred previously, in other words, a profound antiarrhythmic effect.

Figure 10 is a series of three graphs showing the effect of 21 days of posterolateral LV pacing on the QRS duration, QT interval duration, effective refractory period (ERP) and ERP/QT ratio. Recordings are during control and after 21 days of pacing to induce cardiac memory. A slight prolongation in the QRS complex is seen during ventricular pacing, but not during atrial pacing. The QT interval, reflecting net repolarization measured from the body surface is increased during both types of pacing, and the ERP is prolonged significantly. Importantly, the ERP/QT ratio increases significantly indicating a greater protection against the

propagation of premature beats.

A summary of the QRS and QT interval and effective refractory period changes as recorded on ECG is provided in Figure 10. During ventricular, but not atrial, pacing there is a small but significant prolongation of the QRS complex. More importantly, during both atrial and ventricular pacing the QT interval is prolonged as is the effective refractory period during ventricular pacing. The most critical aspect of the prolongation in refractoriness and repolarization is that the change in the former is greater than the latter, such that the ratio, ERP/QT increases. The implication of all these results is that in settings where an arrhythmia is most likely to be propagated, the pacing intervention performed is most likely to prevent it from either expressing or sustaining itself.

Figure 11 is a series of graphs showing the effects of chronic pacing on action potential and ion channel remodeling. The Upper panel, at a pacing cycle length of 650 msec, shows epicardial action potentials recorded from control and chronically paced dogs. The phase 1 notch (arrow) is more positive in the "memory" setting and that the plateau is higher and the action potential duration longer. This is entirely consistent with the QT interval changes reported in Figure 9. The remaining panels deal with Ito, the ion

channel responsible for the phase 1 notch. Middle panels on the left show currents recorded for I_{to} from single epicardial myocytes from a control (upper) and a memory (lower panel) animal. The current activates more negatively in control more negatively in control (around -20mV) and even at +10mV, has a far higher amplitude. On the right is a graph showing the mean results from all experiments looking at channel conductance. Over a wide range of voltages, a greater conductance, reflecting current, is seen in control than memory. The difference is about 1/3. Lower panels show Messenger RNA for Kv4.3, the genetic determinant of the I_{to} current in canine and human heart. On the left are results from 3 control and 3 memory animals, showing the reduction in Kv4.3 ("Cyc" refers to cyclophyllin, a reference gene). Results are quantified on the right, with Kv4.3 in memory being about 1/3 less than control, identical to the result seen with the current, itself, in the middle panels (see ref. 19).

Figure 11 demonstrates changes in the action potential and its phase 1 notch; I_{to} , the ion current responsible for the action potential notch; and the messenger RNA for Kv4.3, understood to be the genetic determinant of I_{to} in canine and human heart. In the upper panel the isolated cells show the same action potential prolongation described above for regional changes in activation-recovery intervals in the intact heart as well as a reduction in

magnitude of the phase 1 notch. In the middle panel, I_{to} , which is responsible for the notch, decreases in magnitude by 1/3. In addition, and quite important, is that the activation voltage for the current moves from about -22mV to -5mV and the time constant for recovery from inactivation increases over 20-fold from a control of 27 ms. Finally, as shown in the lower panel, the message levels for Kv4.3 are reduced by 1/3. Hence, the entire trail of information is completely internally consistent, from action potential to ion current to molecular message (19) and indicates that ion channel remodeling has occurred.

Figure 4 demonstrates gap junctional remodeling induced by 21 days of pacing. The upper panel is a control, and the lower panel is the same region from an animal that was paced. The entire gap junctional distribution has changed, with lateralization clearly visualized. Measurements of epi-myocardial connexin43 expression using quantitative Western blotting revealed a reduction from a control of 107 ± 43 to 62 ± 18 ($P < .05$, $n=12$) within a 3 cm radius of the pacemaker, following 21 days of VVO pacing (37, 38).

Array stimulation:

Figure 12 shows effective refractory period (ERP) measurements made following two one hour periods of left ventricular antero-septal pacing using the array in three anesthetized dogs. The results

show that there is an 8-12% increase in the ERP (upper), with significant prolongation demonstrable at each of the three reference sites measured (lower).

5 Figure 12 demonstrates the changes seen in effective refractory
period using the electrode array in the antero-septal position in 3
anesthetized dogs paced for two 60 minute periods with a 30 minute
respite of atrial pacing after each hour of ventricular pacing.
Even after these relatively brief pacing periods there is a
10 significant prolongation in effective refractory period at each
site (8% each at left ventricular base and right ventricle and 12
% at left ventricular apex). In other words, at three widely
dispersed sites in the heart, refractoriness is prolonged.

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